

# RELATED AND CONNECTED ISSUER LIST



As part of the Wellington-Altus Group of Companies, Wellington-Altus Private Wealth Inc. ("WAPW") is a wholly owned subsidiary of Wellington-Altus Financial Inc. ("WAFI"). WAFI operates a diversified financial services business and, as part of its offering to its clients, WAPW may on occasion advise clients or trade in securities of an issuer who is related or connected to WAPW. Securities are considered "related" when WAPW and the issuer of the securities, through the ownership of, or direction over voting securities, have the following relationships:

- The issuer and WAPW are controlled by the same person, company or professional group;
- The issuer controls WAPW; and/or
- WAPW and/or its professional group controls the issuer.

For more information with respect to the entities that are related to WAPW, please see WAPW's Relationship Disclosure Document (RDD) located at: [www.wellington-altus.ca/legal](http://www.wellington-altus.ca/legal). Securities are considered "connected" to WAPW where, due to indebtedness or other relationships with WAPW or its professional group, a reasonable prospective purchaser of the securities of the connected issuer might question the independence of WAPW from the issuer.

WAPW has policies and controls in place to ensure that any dealings in securities of related or connected issuers will comply with the investment objectives, restrictions, guidelines and other applicable provisions of your Client Account Agreements and Investment Policy Statement (as applicable).

## List of Related and Connected Issuers to WAPW as at February 2, 2026:

1. Independent Advisor Solutions Inc.
2. Emerge Capital Management Inc.
3. Emerge Canada Inc.

## List of Related and Connected Securities to WAPW as at February 2, 2026:

1. TWC Risk-Managed Balanced Growth Fund;
2. Emerge Canada ETFs, including any residual accounts receivable from Emerge Canada Inc.; and
3. MiBLOX:
  - a. Emerging Markets Active Equities;
  - b. Global Systematic Active Equities;
  - c. Global Fixed Income Opportunities;
  - d. Global Tactical ETF Asset Allocation;
  - e. Global Liquid Alternatives;
  - f. Global Balanced Portfolio;
  - g. Global Income Balance Portfolio;
  - h. Global Equity Balanced Portfolio;
  - i. Global Equity Market Neutral;
  - j. iAS Apollo Diversified Credit Access Fund;
  - k. iAS Apollo Aligned Alternatives Credit Access Fund;
  - l. Canadian Corporate Bond Plus;
  - m. Canadian High Conviction Equities;
  - n. Private Market Access Portfolio;
  - o. Absolute Return Access Portfolio;
  - p. International Active Equities; and
  - q. U.S. Active Equities.

"MiBLOX" are proprietary investment funds structured as open-ended trusts that are manufactured, managed and portfolio managed by iAS or sub-advised to third-party asset managers.

WAPW on occasion may also advise client or trade in securities where WAPW may act as a Principal Distributor. A Principal Distributor will receive fees and costs associated with the distribution of shares outlined the funds' prospectus.

## List of Funds where WAPW is a Principal Distributor as at February 2, 2026:

1. Class W Units of the SEI Funds

If you have any questions, please contact your Investment Advisor.

1 For WAPW, the "professional group" includes its employees, officers and directors.