

# RELATED AND CONNECTED ISSUER LIST

## JUNE 2026



As part of the Wellington-Altus Group of Companies, Wellington-Altus Private Wealth Inc. (“WAPW”), Wellington-Altus Private Counsel Inc. (“WAPC”), Wellington Altus USA Inc. (“WAUSA”) and Independent Advisor Solutions Inc. (“iAS” and together with WAPW, WAPC and WAUSA, the “Group”) are each wholly owned subsidiaries of Wellington-Altus Financial Inc. (“WAFI”).

WAFI operates a diversified financial services business and, as part of its offering to its clients, each member of the Group may on occasion advise clients or trade in securities of an issuer who is related or connected to a member of the Group. Securities are considered “related” when a member of the Group and the issuer of the securities, through the ownership of, or direction over voting securities, have the following relationships:

- The issuer and a member of the Group are controlled by the same person, company or professional group;
- The issuer controls a member of the Group; and/or
- A member of the Group and/or its professional group controls the issuer.

Securities are considered “connected” to members of the Group where, due to indebtedness or other relationships with a member of the Group or its professional group, a reasonable prospective purchaser of the securities of the connected issuer might question the independence of a member of the Group from the issuer.

Each member of the Group has policies and controls in place to ensure that any dealings in securities of related or connected issuers will comply with the investment objectives, restrictions, guidelines and other applicable provisions of your New Client Account Agreements and Investment Policy Statement (as applicable).

List of Related and Connected Issuers to the Group:

1. TWC Risk-Managed Balanced Growth Fund, a fund managed by WAPC;
2. MiBlox, funds managed by iAS:
  - a. Emerging Markets Active Equities;
  - b. Global Systematic Active Equities;
  - c. Global Fixed Income Opportunities;
  - d. Global Tactical ETF Asset Allocation;
  - e. Global Liquid Alternatives;
  - f. Global Balanced Portfolio;
  - g. Global Income Balance Portfolio;
  - h. Global Equity Balanced Portfolio;
  - i. Global Equity Market Neutral;
  - j. iAS Apollo Diversified Credit Access Fund;
  - k. iAS Apollo Aligned Alternatives Credit Access Fund;
  - l. Canadian Corporate Bond Plus;
  - m. Canadian High Conviction Equities;
  - n. International Active Equities; and
  - o. U.S. Active Equities;
  - p. Private Market Access Portfolio; and
  - q. Absolute Return Access Portfolio.
3. Emerge Canada Inc. (“Emerge Canada”) and Emerge Canada ETFs, as affiliates of Emerge Capital Management Inc. (“Emerge USA”). The chairman and founder of WAFI has an equity and financial interest in Emerge USA. This individual has no management role in Emerge USA

Members of the Group on occasion may also advise client or trade in securities where a member of the Group may act as a Principal Distributor. A Principal Distributor will receive fees and costs associated with the distribution of shares outlined the funds’ prospectus. At this time, no Member of the Group is the Principal Distributor of any fund securities.

For more information on the conflicts of interests related to related and connected issuers, please see the Conflict of Interest Disclosure located at <https://wellington-altus.ca/legal/>.

If you have any questions, please contact your Investment Advisor.

<sup>1</sup> For WAPW, the “professional group” includes its employees, officers and directors.